

NVB 3001 (Effective 1/21)

<b>UNITED STATES BANKRUPTCY COURT FOR THE DISTRICT OF NEVADA</b>		<b>PROOF OF INTEREST</b>
Name of Debtor: <b>Meta Materials</b>	Case Number: <b>24-50792-hlb</b>	<b>RECEIVED AND FILED</b>  <b>DEC 18 2024</b>  <b>U.S. BANKRUPTCY COURT</b> <b>MARY A. SCHOTT, CLERK</b>
<b>1. Name and address of holder of the Equity Interest</b> (the person or entity holding an Equity Interest in the Debtor. Referred to hereinafter as the "Interest holder"):  <b>Steven Christopher Gray and Leslie Crowe Gray</b> <b>1520 Knight Circle</b> <b>Loganville, GA 30052</b>	<input type="checkbox"/> Check box if you are aware that anyone else has filed a proof of interest relating to your interest. Attach copy of statement giving particulars.  <input checked="" type="checkbox"/> Check box if you have never received any notices from the bankruptcy court or the Debtors in this case.  <input type="checkbox"/> Check box if this address differs from the address on the envelope sent to you by the Debtors.	
Telephone Number: <b>770-617-4692</b>		
<b>NOTE: This form SHOULD NOT be used to make a claim against the Debtor for money owed. A separate Proof of Claim form should be used for that purpose. This form should only be used to assert an Equity Interest in the Debtor. An Equity Interest is any right arising from any capital stock and any equity security in any of the Debtor. An equity security is defined in the Bankruptcy Code as (a) a share in a corporation whether or not transferable or denominated stock or similar security, (b) interest of a limited partner in a limited partnership, or (c) warrant or right other than a right to convert, to purchase, sell, or subscribe to a share, security, or interest of a kind specified in subsection (a) or (b) herein.</b>		
Account or other number by which Interest holder identifies Debtor: <b>5380-4374 E*Trade ; 133-409963-204 Morgan Stanley</b>	Check here if this claim: <input type="checkbox"/> replaces a previously filed Proof of Interest dated: _____ <input type="checkbox"/> amends a previously filed Proof of Interest dated: _____	
<b>2. Name and Address of any person or entity that is the record holder for the Equity Interest asserted in this Proof of Interest:</b> <b>E*Trade from Morgan Stanley</b> <b>P.O. Box 484, Jersey City, NJ 07303-0484</b>  Telephone Number: <b>1-800-387-2331</b>	<b>3. Date Equity Interest was acquired:</b>  <b>November 4, 2022</b>  <b>November 15, 2023</b>	
<b>4. Total amount of member interest:</b> 29,000; 290 after reverse split 1/29/24	<b>5. Certificate number(s):</b> _____	
<b>6. Type of Equity Interest:</b> Please indicate the type of Equity Interest you hold: <input checked="" type="checkbox"/> Check this box if your Equity Interest is based on an actual member interest held in the Debtor. <input type="checkbox"/> Check this box if your Equity Interest is based on anything else and describe that interest: <b>Description: Investor</b>		
<b>7. Supporting Documents:</b> Attach copies of supporting documents, such as stock certificates, option agreements, warrants, etc. DO NOT SEND ORIGINAL DOCUMENTS. If the documents are not available, explain. If the documents are voluminous, attach a summary.		
<b>8. Date-Stamped Copy:</b> To receive an acknowledgement of the filing of your Proof of Interest, enclose a stamped, self-addressed envelope and copy of this Proof of Interest.		
<b>9. Signature:</b> Check the appropriate box. <input checked="" type="checkbox"/> I am the creditor. <input type="checkbox"/> I am the creditor's authorized agent. (Attach copy of power of attorney, if any.) <input type="checkbox"/> I am the trustee, or the debtor, or their authorized agent. (See Bankruptcy Rule 3004.) <input type="checkbox"/> I am a guarantor, surety, endorser, or other codebtor. (See Bankruptcy Rule 3005.)  I declare under penalty of perjury that the information provided in this claim is true and correct to the best of my knowledge, information, and reasonable belief.		
Print Name: <u>Steven Christopher Gray, Leslie Crowe Gray</u> Title: _____ Company: Address and telephone number (if different from notice address above): <u>1520 Knight Circle, Loganville, GA 30052</u> <div style="text-align: right; margin-top: 20px;">               (Signature)           </div> <div style="text-align: right; margin-top: 20px;">               (Date)           </div>		
Telephone number: (770) 617-4692		email: <a href="mailto:scgray70@bellsouth.net">scgray70@bellsouth.net</a>

*Penalty for presenting fraudulent claim is a fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 AND 3571*

Print Form

Save Form

Clear Form

Account Number: 5380-4374

Statement Period : November 1, 2022 - November 30, 2022

Account Type: JOINT

## ACCOUNT HOLDINGS

### CASH & CASH EQUIVALENTS (0.53% of Holdings)

DESCRIPTION	PORTFOLIO %	AMOUNT
CASH BALANCE		
Opening Balance		50,000.00
Closing Balance	0.00	0.00
Extended Insurance Sweep Deposit Account		
Opening Balance		0.00
Closing Balance	0.53	453.18
Average Balance		13,911.02
Extended Insurance Sweep Deposit Account Balance by Bank as of November 30, 2022		
MORGAN STANLEY BANK NA		453.18

Under the Extended Insurance Sweep Deposit Account (ESDA) Program, cash balances from your brokerage account into the ESDA Program may shift from one program bank to another on a daily basis and a different combination or subset of the Program Banks may be used from day to day with dynamic deposit limits. Your ESDA Program cash balances will be FDIC-insured up to an aggregate of \$500,000 for individual accounts and \$1,000,000 for joint accounts. Uninvested cash balances in the ESDA program are not covered by SIPC. The balance in your bank deposit sweep account may be withdrawn on your order and proceeds returned to your securities account or remitted to you. To see a list of Program Banks please visit [www.etrade.com/esdaagreement](http://www.etrade.com/esdaagreement) or call us at 1-800-387-2331.

TOTAL CASH & CASH EQUIVALENTS 0.53% \$453.18

### STOCKS, OPTIONS & EXCHANGE-TRADED FUNDS (35.52% of Holdings)

DESCRIPTION	SYMBOL/ CUSIP	ACCT TYPE	QUANTITY	PRICE	TOTAL MKT VALUE	PORTFOLIO (%)	EST. ANNUAL INCOME	EST. ANNUAL YIELD (%)
META MATLS INC COMMON STOCK	MMAT	Cash	16,000	1.9000	30,400.00	35.52		
TOTAL STOCKS, OPTIONS & ETF					\$30,400.00	35.52%		

### PREFERRED STOCKS (63.95% of Holdings)

DESCRIPTION	SYMBOL/ CUSIP	ACCT TYPE	QUANTITY	PRICE	TOTAL MKT VALUE	PORTFOLIO (%)	EST. ANNUAL INCOME	EST. ANNUAL YIELD (%)
META MATLS INC PFD SER A	MMTLP	Cash	6,700	8.1700	54,739.00	63.95		
TOTAL PREFERRED STOCKS					\$54,739.00	63.95%		

TOTAL PRICED PORTFOLIO HOLDINGS (ON 11/30/22) \$85,592.18



from Morgan Stanley

Account Number: 5380-4374

Statement Period : November 1, 2022 - November 30, 2022

Account Type: JOINT

## TRANSACTION HISTORY

### SECURITIES PURCHASED OR SOLD

TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
11/04/22	11/08/22	META MATLS INC COMMON STOCK	MMAT	Bought	16,000	1.5600	24,960.00	
11/04/22	11/08/22	META MATLS INC PFD SER A	MMTLP	Bought	6,700	7.4000	49,586.95	
TOTAL SECURITIES ACTIVITY							\$74,546.95	

### DIVIDENDS & INTEREST ACTIVITY

DATE	TRANSACTION TYPE	DESCRIPTION	SYMBOL/CUSIP	AMOUNT DEBITED	AMOUNT CREDITED
11/28/22	Interest	EXTENDED INSURANCE SWEEP DEPOSIT ACCOUNT INTEREST			0.13
TOTAL DIVIDENDS & INTEREST ACTIVITY					\$0.13
NET DIVIDENDS & INTEREST ACTIVITY					\$0.13

### WITHDRAWALS & DEPOSITS

DATE	TRANSACTION TYPE	DESCRIPTION	WITHDRAWALS	DEPOSITS
11/03/22	Deposit	ACH DEPOSIT REFID:65929892906;		25,000.00
NET WITHDRAWALS & DEPOSITS				\$25,000.00

### EXTENDED INSURANCE SWEEP DEPOSIT ACCOUNT (ESDA) ACTIVITY ( 0.0100% APY/0.0100%APY Earned as of 11/30/22)

Under the Extended Insurance Sweep Deposit Account (ESDA) Program, cash balances from your brokerage account into the ESDA Program may shift from one program bank to another on a daily basis and a different combination or subset of the Program Banks may be used from day to day with dynamic deposit limits. Your ESDA Program cash balances will be FDIC-insured up to an aggregate of \$500,000 for individual accounts and \$1,000,000 for joint accounts. Uninvested cash balances in the ESDA program are not covered by SIPC. The balance in your bank deposit sweep account may be withdrawn on your order and proceeds returned to your securities account or remitted to you. To see a list of Program Banks please visit [www.etrade.com/esdaagreement](http://www.etrade.com/esdaagreement) or call us at 1-800-387-2331.

DATE	TRANSACTION TYPE	DESCRIPTION	TRANSACTION AMOUNT
11/01/22		OPENING BALANCE	\$0.00
11/01/22	Deposit	EXTND INS SWEEP ACCT(FDIC-INS)	50,000.00
11/04/22	Deposit	EXTND INS SWEEP ACCT(FDIC-INS)	25,000.00
11/08/22	Withdrawal	EXTND INS SWEEP ACCT(FDIC-INS)	-74,546.95
11/28/22	Deposit	EXTND INS SWEEP ACCT(FDIC-INS)	0.13
11/30/22		CLOSING BALANCE	\$453.18

**E\*TRADE**

from Morgan Stanley

**CLIENT STATEMENT** | For the Period October 1- November 30, 2023

**STATEMENT FOR:**  
**STEVEN C GRAY &**  
**LESLIE C GRAY JT TEN**

**Beginning Total Value** (as of 10/1/23)**\$4,740.16****Ending Total Value** (as of 11/30/23)**\$2,882.63***Includes Accrued Interest***Access Your Account Online At****www.etrade.com or call 800-387-2331**

*Morgan Stanley Smith Barney LLC. Member SIPC.*  
*E\*TRADE is a business of Morgan Stanley.*

#BWNJGWM

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STEVEN C GRAY &  
 LESLIE C GRAY JT TEN  
 1520 KNIGHT CIR  
 LOGANVILLE GA 30052

**INVESTMENTS AND INSURANCE PRODUCTS: NOT FDIC INSURED • NOT A BANK DEPOSIT •**  
**NOT INSURED BY ANY FEDERAL GOVERNMENT AGENCY • NOT BANK GUARANTEED •**  
**MAY LOSE VALUE • UNLESS SPECIFICALLY NOTED, ALL VALUES ARE DISPLAYED IN USD**

## Standard Disclosures

The following Disclosures are applicable to the enclosed statement(s). Expanded Disclosures are attached to your most recent June and December statement (or your first Statement if you have not received a statement for those months). The Expanded Disclosures are also available online or by contacting us by using the contact information on the statement cover page.

**Questions?**

Questions regarding your account may be directed to us by using the contact information on the statement cover page.

**Errors and Inquiries**

Be sure to review your statement promptly, and immediately address any concerns regarding entries that you do not understand or believe were made in error by contacting us by using the contact information on your statement cover page. Oral communications regarding any inaccuracy or discrepancy in this statement should be re-confirmed in writing to further protect your rights, including rights under the Securities Investor Protection Act (SIPA). Your statement will be deemed correct unless we receive a written inquiry of a suspected error. See your account documentation for special rules regarding your rights and responsibilities with respect to erroneous electronic fund transfers, including a description of the transfers covered. For concerns or complaints, contact us.

**Senior Investor Helpline**

Senior Investor clients or those acting on their behalf have a convenient way to communicate with our Firm by calling us at (800) 280-4534 Monday-Friday 9am-7pm Eastern Time.

**Availability of Free Credit Balances and Financial Statements**

Under the customer protection rules of the SEC [17 CFR §240.15c3-3], we may use funds comprising free credit balances carried for customer accounts here, provided that these funds are payable to customers on demand (i.e., are free of a lien or right of set-off in our favor or on behalf of some third party to whom you have given control). A financial statement of this organization is available for your personal inspection at its offices, or a copy will be mailed to you upon your written request.

**Listed Options**

Information with respect to commissions and other charges related to the execution of options transactions has been included in confirmations of such transactions previously furnished to you and such information will be made available to you promptly at your request. Promptly advise us of any material change in your investment objectives or financial situation.

**Important Information if You are a Margin Customer**(not available for certain retirement accounts)

If you have margin privileges, you may borrow money from us in

exchange for pledging assets in your accounts as collateral for any outstanding margin loan. The amount you may borrow is based on the value of the eligible securities in your margin accounts. If a security has eligible shares, the number of shares pledged as collateral will be indicated below the position.

**Margin Interest Charges**

We calculate interest charges on margin loans as follows: (1) multiply the applicable margin interest rate by the daily close of business net settled debit balance, and (2) divide by 360 (days). Margin interest accrues daily throughout the month and is added to your debit balance at month-end. The month-end interest charge is the sum of the daily accrued interest calculations for the month. We add the accrued interest to your debit balance and start a new calculation each time the applicable interest rate changes and at the close of every statement month. For interest rate information, log into your account online and select your account with a Margin agreement to view more information.

**Information Regarding Special Memorandum Account**

If you have a Margin Account, this is a combined statement of your Margin Account and Special Memorandum Account maintained for you under Section 220.5 of Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the Special Memorandum Account as required by Regulation T is available for your inspection at your request.

**Important Information About Auction Rate Securities**

For certain Auction Rate Securities there is no or limited liquidity. Therefore, the price(s) for these Auction Rate Securities are indicated by N/A (not available). There can be no assurance that a successful auction will occur or that a secondary market exists or will develop for a particular security.

**Structured Investments Risks and Considerations**

Structured Investments (Structured Products) are complex products and may be subject to special risks. Investors should consider the concentration risk of owning the related security and their total exposure to any underlying asset. Structured Investments, which may appear in various statement product categories and are identified on the Position Description Details line as "Asset Class: Struct Inv," may not perform in a manner consistent with the statement product category where they appear and therefore may not satisfy portfolio asset allocation needs for that category. For information on the risks and conflicts of interest related to Structured Investments generally, log in to Morgan Stanley Online and go to [www.morganstanley.com/structuredproductsrisksandconflicts](http://www.morganstanley.com/structuredproductsrisksandconflicts).

**Security Measures**

This statement features several embedded security elements to safeguard its authenticity. One is a unique blue security rectangle,

printed in heat-sensitive ink on the back of every page. When exposed to warmth, the color will disappear, and then reappear.

**SIPC Protection**

We are a member of Securities Investor Protection Corporation (SIPC), which protects securities of its customers up to \$500,000 (including \$250,000 for claims for cash). An explanatory brochure is available upon request or at [www.sipc.org](http://www.sipc.org). Losses due to market fluctuation are not protected by SIPC and assets not held with us may not be covered by SIPC protection. To obtain information about SIPC, including an explanatory SIPC brochure, contact SIPC at 1-202-371-8300 or visit [www.sipc.org](http://www.sipc.org).

**Transaction Dates and Conditions**

Upon written request, we will furnish the date and time of a transaction and the name of the other party to a transaction. We and/or our affiliates may accept benefits that constitute payment for order flow. Details regarding these benefits and the source and amount of any other remuneration received or to be received by us in connection with any transaction will be furnished upon written request.

**Equity Research Ratings Definitions and Global Investment Manager Analysis Status**

Some equity securities may have research ratings from Morgan Stanley & Co. LLC or Morningstar, Inc. Research ratings are the research providers' opinions and not representations or guarantees of performance. For more information about each research provider's rating system, see the Research Ratings on your most recent June or December statement (or your first statement if you have not received a statement for those months), go online or refer to the research provider's research report. Research reports contain more complete information concerning the analyst's views and you should read the entire research report and not infer its contents from the rating alone. If your account contains an advisory component or is an advisory account, a GIMA status will apply.

**Credit Ratings from Moody's Investors Service and Standard & Poor's**

The credit rating from Moody's Investors Service and Standard & Poor's may be shown for certain securities. All credit ratings represent the opinions of the provider and are not representations or guarantees of performance. Please contact us if you need further information or assistance in interpreting these credit ratings.

Revised 11/2022

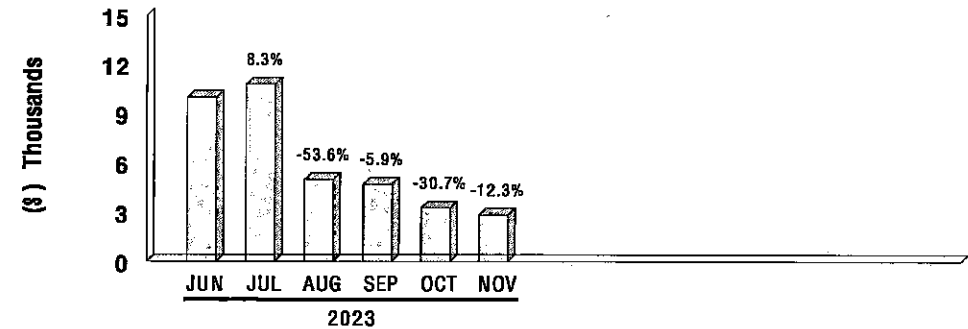


**CLIENT STATEMENT** | For the Period October 1- November 30, 2023**Account Summary**Self-Directed Brokerage Account  
133-409963-204STEVEN C GRAY &  
LESLIE C GRAY JT TEN**CHANGE IN VALUE OF YOUR ACCOUNT** (includes accrued interest)

	This Period (10/1/23-11/30/23)	This Year (1/1/23-11/30/23)
<b>TOTAL BEGINNING VALUE</b>	<b>\$ 4,740.16</b>	—
Credits	—	1,426.62
Debits	—	(5,504.00)
Security Transfers	—	8,667.94
<b>Net Credits/Debits/Transfers</b>	—	<b>\$ 4,590.56</b>
<b>Change in Value</b>	<b>(1,857.53)</b>	<b>(1,707.93)</b>
<b>TOTAL ENDING VALUE</b>	<b>\$ 2,882.63</b>	<b>\$ 2,882.63</b>

**MARKET VALUE OVER TIME**

The below chart displays the most recent thirteen months of Market Value.

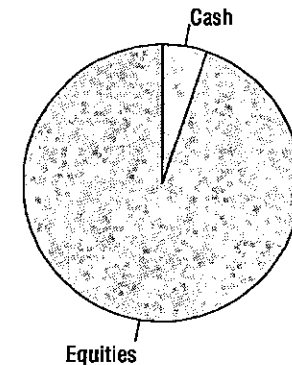


The percentages above represent the change in dollar value from the prior period. They do not represent account investment performance, as they do not consider the impact of contributions and withdrawals, nor other factors that may have affected performance calculations. No percentage will be displayed when the previous month reflected no value.

**ASSET ALLOCATION** (includes accrued interest)

	Market Value	Percentage
Cash	\$ 147.93	5.13
Equities	2,734.70	94.87
<b>TOTAL VALUE</b>	<b>\$ 2,882.63</b>	<b>100.00%</b>

FDIC rules apply and Bank Deposits are eligible for FDIC insurance but are not covered by SIPC. Cash and securities (including MMFs) are eligible for SIPC coverage. See Expanded Disclosures. Values may include assets externally held, as a courtesy, and may not be covered by SIPC. Foreign Exchange (FX) is neither FDIC nor SIPC insured. For additional information, refer to the corresponding section of this statement.



This asset allocation represents holdings on a trade date basis, and projected settled Cash/BDP and MMF balances. These classifications do not constitute a recommendation and may differ from the classification of instruments for regulatory or tax purposes.



from Morgan Stanley

**CLIENT STATEMENT** | For the Period October 1- November 30, 2023

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Self-Directed Brokerage Account  
133-409963-204STEVEN C GRAY &  
LESLIE C GRAY JT TEN**Account Summary****BALANCE SHEET** (^ includes accrued interest)

	Last Period (as of 9/30/23)	This Period (as of 11/30/23)
Cash, BDP, MMFs	\$ 1,349.76	\$ 147.93
Stocks	3,390.40	2,734.70
<b>Total Assets</b>	<b>\$ 4,740.16</b>	<b>\$ 2,882.63</b>
<b>Total Liabilities</b> (outstanding balance)	—	—
<b>TOTAL VALUE</b>	<b>\$ 4,740.16</b>	<b>\$ 2,882.63</b>

**INCOME AND DISTRIBUTION SUMMARY**

	This Period (10/1/23-11/30/23)	This Year (1/1/23-11/30/23)
Qualified Dividends	—	\$ 20.40
Interest	0.02	0.09
<b>Income And Distributions</b>	<b>\$ 0.02</b>	<b>\$ 20.49</b>
<b>Tax-Exempt Income</b>	—	—
<b>TOTAL INCOME AND DISTRIBUTIONS</b>	<b>\$ 0.02</b>	<b>\$ 20.49</b>

Taxable and tax exempt income classifications are based on the characteristics of the underlying securities and not the taxable status of the account.

**CASH FLOW**

	This Period (10/1/23-11/30/23)	This Year (1/1/23-11/30/23)
<b>OPENING CASH, BDP, MMFs</b>	<b>\$ 1,349.76</b>	—
Purchases	(1,201.85)	(1,201.85)
Sales and Redemptions	—	5,406.67
Income and Distributions	0.02	20.49
<b>Total Investment Related Activity</b>	<b>\$ (1,201.83)</b>	<b>\$ 4,225.31</b>
Electronic Transfers-Credits	—	0.01
Electronic Transfers-Debits	—	(5,500.00)
Other Credits	—	1,426.61
Other Debits	—	(4.00)
<b>Total Cash Related Activity</b>	—	<b>\$ (4,077.38)</b>
<b>Total Card/Check Activity</b>	—	—
<b>CLOSING CASH, BDP, MMFs</b>	<b>\$ 147.93</b>	<b>\$ 147.93</b>

**GAIN/(LOSS) SUMMARY**

	Realized This Period (10/1/23-11/30/23)	Realized This Year (1/1/23-11/30/23)	Unrealized Inception to Date (as of 11/30/23)
Short-Term Gain	—	\$ 512.73	\$ 24.05
Short-Term (Loss)	—	(212.97)	—
<b>Total Short-Term</b>	—	<b>\$ 299.76</b>	<b>\$ 24.05</b>
Long-Term (Loss)	—	—	(23,451.20)
<b>TOTAL GAIN/(LOSS)</b>	—	<b>\$ 299.76</b>	<b>\$ (23,427.15)</b>

The Gain/(Loss) Summary, which may be subsequently adjusted, is provided for informational purposes and should not be used for tax preparation. For additional detail, please visit [www.etrade.com](http://www.etrade.com).



from Morgan Stanley

## CLIENT STATEMENT | For the Period October 1 - November 30, 2023

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## Account Detail

Self-Directed Brokerage Account  
133-409963-204STEVEN C GRAY &  
LESLIE C GRAY JT TEN

Investment Objectives (in order of priority): Not Provided

Brokerage Account

Inform us if your investment objectives, as defined in the Expanded Disclosures, change.

## HOLDINGS

This section reflects positions purchased/sold on a trade date basis. "Market Value" and "Unrealized Gain/(Loss)" may not reflect the value that could be obtained in the market. Your actual investment return may differ from the unrealized gain/(loss) displayed. Fixed Income securities are sorted by maturity or pre-refunding date, and alphabetically within date. Estimated Annual Income a) is calculated on a pre-tax basis, b) does not include any reduction for applicable non-US withholding taxes, c) may include return of principal or capital gains which could overstate such estimates, and d) for holdings that have a defined maturity date within the next 12 months, is reflected only through maturity date. Actual income or yield may be lower or higher than the estimates. Current Yield is an estimate for informational purposes only. It reflects the income generated by an investment, and is calculated by dividing the total estimated annual income by the current market value of the entire position. It does not reflect changes in its price. Structured Investments, identified on the Position Description Details line as "Asset Class: Struct Inv," may appear in various statement product categories. When displayed, the accrued interest, annual income and current yield for those with a contingent income feature (e.g., Range Accrual Notes or Contingent Income Notes) are estimates and assume specified accrual conditions are met during the relevant period and payment in full of all contingent interest. For Floating Rate Securities, the accrued interest, annual income and current yield are estimates based on the current floating coupon rate and may not reflect historic rates within the accrual period.

For additional information related to Unrealized and Realized Gain/(Loss) and tax lot details, including cost basis, please visit [www.etrade.com](http://www.etrade.com). The information presented on the statement should not be used for tax purposes.

## CASH, BANK DEPOSIT PROGRAM AND MONEY MARKET FUNDS

Cash, Bank Deposit Program, and Money Market Funds are generally displayed on a settlement date basis. You have the right to instruct us to liquidate your bank deposit balance(s) or shares of any money market fund balance(s) at any time and have the proceeds of such liquidation remitted to you. Estimated Annual Income, Accrued Interest, and APY% will only be displayed for fully settled positions. Under the Bank Deposit Program, free credit balances held in an account(s) at Morgan Stanley Smith Barney LLC are automatically deposited into an interest-bearing deposit account(s), at Morgan Stanley Bank, N.A. and/or Morgan Stanley Private Bank, National Association, each a national bank, FDIC member and an affiliate of Morgan Stanley. For more information regarding the Bank Deposit Program, go to [www.etrade.com/bdpdisclosure](http://www.etrade.com/bdpdisclosure). Interest from required Pattern Day Trader minimum equity amounts retained in Cash Balance Program.

Description	Market Value	7-Day Current Yield %	Est Ann Income	APY %
MORGAN STANLEY BANK N.A.	\$147.93	—	\$0.01	0.010

	Percentage of Holdings	Market Value	Est Ann Income
CASH, BDP, AND MMFs	5.13%	\$147.93	\$0.01

## STOCKS

## COMMON STOCKS

Security Description	Quantity	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
META MATERIALS INC (MMAT) <sup>7</sup> Asset Class: Equities	29,000.000	\$0.094	\$26,161.85	\$2,734.70	\$(23,427.15)	—	—





CLIENT STATEMENT For the Period October 1 - November 30, 2023

from Morgan Stanley

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## Account Detail

Self-Directed Brokerage Account  
133-409963-204STEVEN C GRAY &  
LESLIE C GRAY JT TEN

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
STOCKS	94.87%	\$26,161.85	\$2,734.70	\$(23,427.15)	—	—
	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
TOTAL VALUE	100.00%	\$26,161.85	\$2,882.63	\$(23,427.15)	\$0.01	—

Unrealized Gain/(Loss) totals only reflect positions that have both cost basis and market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' or 'Pending Corporate Actions' are not included.

## ALLOCATION OF ASSETS

	Cash	Equities	Fixed Income & Preferred Securities	Alternatives	Structured Investments	Other
Cash, BDP, MMFs	\$147.93	—	—	—	—	—
Stocks	—	\$2,734.70	—	—	—	—
TOTAL ALLOCATION OF ASSETS	\$147.93	\$2,734.70	—	—	—	—

## ACTIVITY

## CASH FLOW ACTIVITY BY DATE

Activity Date	Settlement Date	Activity Type	Description	Comments	Quantity	Price	Credits/(Debits)
10/31		Interest Income	MORGAN STANLEY BANK N.A.	(Period 10/01-10/31)			\$ 0.01
11/15	11/17	Bought	META MATERIALS INC	ACTED AS AGENT UNSOLICITED TRADE	13,000.000	0.0924	(1,201.85)
11/30		Interest Income	MORGAN STANLEY BANK N.A.	(Period 11/01-11/30)			0.01
NET CREDITS/(DEBITS)							\$(1,201.83)

Purchase and Sale transactions above may have received an average price execution. Details regarding the actual prices are available upon request.

## MONEY MARKET FUND (MMF) AND BANK DEPOSIT PROGRAM ACTIVITY

Activity Date	Activity Type	Description	Credits/(Debits)
10/31	Automatic Investment	BANK DEPOSIT PROGRAM	\$ 0.01
11/17	Automatic Redemption	BANK DEPOSIT PROGRAM	(1,201.85)



from Morgan Stanley

CLIENT STATEMENT | For the Period October 1 - November 30, 2023

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## Account Detail

Self-Directed Brokerage Account  
133-409963-204STEVEN C GRAY &  
LESLIE C GRAY JT TEN

## MONEY MARKET FUND (MMF) AND BANK DEPOSIT PROGRAM ACTIVITY (CONTINUED)

Activity			Credits/(Debits)
Date	Activity Type	Description	
11/30	Automatic Investment	BANK DEPOSIT PROGRAM	0.01
NET ACTIVITY FOR PERIOD			\$(1,201.83)

## MESSAGES

## Senior Investor Helpline

For any inquiries or potential concerns, senior investors or someone acting on their behalf may contact our Firm by calling (800) 280-4534.

## Important Information Regarding Your Account Summary

The Account Summary section on this statement reflects information from the date your account was transferred to Morgan Stanley Smith Barney LLC or, if this is a new account, the date the account was opened. To view all historical data, (i.e., Realized Gain/(Loss) information) please visit [www.etrade.com/gainloss](http://www.etrade.com/gainloss).



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## Account Detail

**STEVEN C GRAY &  
LESLIE C GRAY JT TEN**

### Brokerage Account

## HOLDINGS

## CASH, BANK DEPOSIT PROGRAM AND MONEY MARKET FUNDS

## STOCKS

## COMMON STOCKS

Security Description	Quantity	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
META MATERIALS INC NEW (MMATQ)	290,000	\$0.370	\$26,161.85	\$107.30	\$(26,054.55)	—	—
Asset Class: Equities							



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CLIENT STATEMENT | For the Period July 1- September 30, 2024

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## Account Detail

Self-Directed Brokerage Account  
133-409963-204STEVEN C GRAY &  
LESLIE C GRAY JT TEN

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
STOCKS	49.39%	\$26,161.85	\$107.30	\$(26,054.55)	—	—
	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
TOTAL VALUE	100.00%	\$26,161.85	\$217.24	\$(26,054.55)	\$0.01	0.01%

Unrealized Gain/(Loss) totals only reflect positions that have both cost basis and market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' or 'Pending Corporate Actions' are not included.

## ALLOCATION OF ASSETS

	Cash	Equities	Fixed Income & Preferred Securities	Alternatives	Structured Investments	Other
Cash, BDP, MMFs	\$109.94	—	—	—	—	—
Stocks	—	\$107.30	—	—	—	—
TOTAL ALLOCATION OF ASSETS	\$109.94	\$107.30	—	—	—	—

## ACTIVITY

## CASH FLOW ACTIVITY BY DATE

Activity Date	Settlement Date	Activity Type	Description	Comments	Quantity	Price	Credits/(Debits)
7/31		Interest Income	MORGAN STANLEY BANK N.A.	(Period 07/01-07/31)			\$ 0.01
9/11		Service Fee	STMT COPY-JUN				(2.00)
NET CREDITS/(DEBITS)							\$ (1.99)

## MONEY MARKET FUND (MMF) AND BANK DEPOSIT PROGRAM ACTIVITY

Activity Date	Activity Type	Description	Credits/(Debits)
7/31	Automatic Investment	BANK DEPOSIT PROGRAM	\$ 0.01
9/12	Automatic Redemption	BANK DEPOSIT PROGRAM	(2.00)
NET ACTIVITY FOR PERIOD			\$ (1.99)